

# MATH 5061 Lecture 12 (Apr 7)

\* Important: Take-home final 4/28 2:30PM - 5/5 2:30PM \*

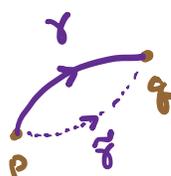
\* [Last Problem Set posted, due on 4/21.] \*

Last week: conjugate pts & minimizing properties of geodesics

- conjugate pt happens when  $d(\exp_p)$  is singular at that pt.
- "completeness" of Riem. mfd.

Hopf-Rinow: geodesic completeness  $\Leftrightarrow$  metric completeness

$$L(\gamma) = d(p, q) \quad (M^n, g) \quad d(p, q) = \inf_{\gamma: p \rightarrow q} L(\gamma) \quad (M^n, d)$$

  $\Leftrightarrow \exp_p: T_p M \rightarrow M$  is well-defined on the entire  $T_p M$ .

$\Rightarrow$  Any  $p, q \in M$  can be connected by a minimizing geodesic in  $(M^n, g)$ .

Recall that a central question in Riem. Geometry is:

Q: Given a complete  $(M^n, g)$ , how does the curvature (s) reflect the topology of  $M^n$ ? (E.g.: Gauss-Bonnet)

• one example is Synge Thm:  $(M^{2n}, g)$ , cpt, orientable,  $K > 0 \Rightarrow \pi_1 M = 0$ .

• Today: Bonnet-Myers Thm & Cartan-Hadamard Thm.

## Bonnet-Myers Thm:

Let  $(M^n, g)$  be a complete Riem. manifold.

Suppose  $\exists r > 0$  st.  $\forall p \in M, \forall v \in T_p M$  where  $\|v\| = 1$

$$\text{Ric}_p^M(v, v) \geq \frac{n-1}{r^2} > 0$$

← Ricci curvature of  $S^n(r)$

THEN,  $M$  is compact and

$$\sup_{p, q \in M} [d(p, q)] = \text{diam}(M^n, g) \leq \pi r$$

← diam  $S^n(r)$

Hence,  $\pi_1(M)$  is finite.

Remark: S.Y. Cheng proved the rigidity case

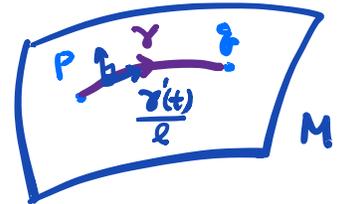
"Maximal Diameter Thm":  $\text{diam } M = \pi r \Rightarrow (M^n, g) \cong (S^n(r), g_{\text{round}})$  <sup>isometric</sup>

## Proof of Bonnet-Myers:

Idea: Use 2<sup>nd</sup> variation of geodesics to establish diameter bound.

Take arbitrary points  $P, q \in M$ . By Hopf-Rinow,  $\exists$  minimizing geodesic  $\gamma: [0, 1] \rightarrow (M^n, g)$  st.

$$\gamma(0) = P, \gamma(1) = q, L(\gamma) = d(P, q) =: l$$



Claim:  $l \leq \pi r$  ( $\Rightarrow \text{diam}(M^n, g) \leq \pi r$ )

Proof: Argue by contradiction. Suppose NOT, ie  $l > \pi r$  (\*)

$\gamma$  minimizing  $\Rightarrow \underline{E''(0) \geq 0 \quad \forall \text{ variation of } \gamma}$  (#)

Fix a parallel O.N.B.  $\left\{ \frac{\gamma'(t)}{l}, e_1(t), \dots, e_{n-1}(t) \right\}$  along  $\gamma$

Define:  $V_i(t) := (\sin \pi t) e_i(t)$  for  $i=1, \dots, n-1$

Note  $V_i(0) = V_i(1) = 0 \Rightarrow$  end-pt fixing variations  $\gamma_s^i$

2<sup>nd</sup> variation  
of energy  
w.r.t.  $\gamma_s^i$

$$\Rightarrow E_i''(0) = \int_0^1 \langle V_i', V_i' \rangle - \langle R(\gamma', V_i) \gamma', V_i \rangle dt$$

$$= - \int_0^1 \langle V_i'' + R(\gamma', V_i) \gamma', V_i \rangle dt$$

$$= \int_0^1 \sin^2 \pi t \left[ \pi^2 - l^2 K_{\gamma(t)}(\text{span}\{e_i(t), \frac{\gamma'(t)}{l}\}) \right] dt$$

Summing  $i=1, \dots, n-1$ ,  $\geq \frac{n-1}{r^2}$  by assumption.

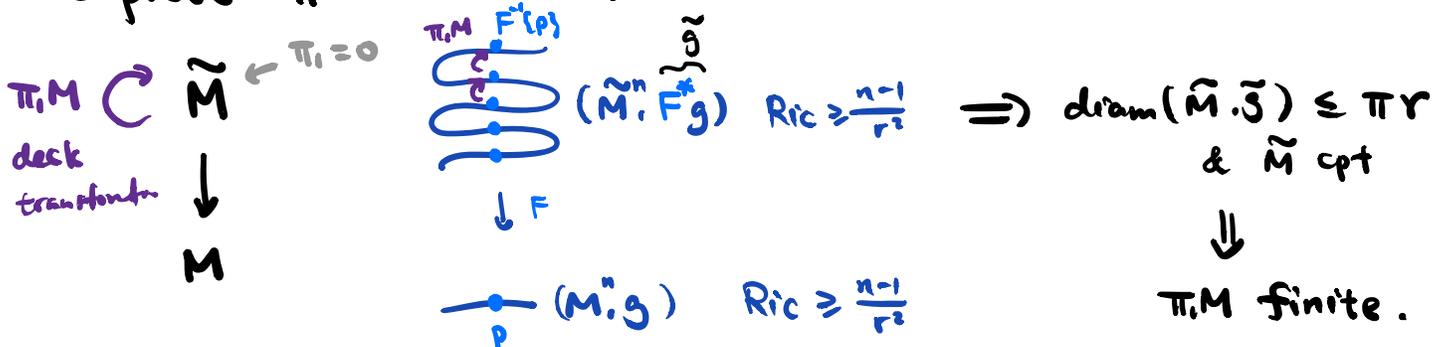
$$\sum_{i=1}^{n-1} E_i''(0) = \int_0^1 \sin^2 \pi t \left[ (n-1)\pi^2 - l^2 \text{Ric}_{\gamma(t)}^M \left( \frac{\gamma'(t)}{l}, \frac{\gamma'(t)}{l} \right) \right] dt$$

$$\leq \int_0^1 \underbrace{\sin^2 \pi t}_{\geq 0} \left[ \underbrace{(n-1)\pi^2 - (n-1) \frac{l^2}{r^2}}_{< 0 \text{ by } (*)} \right] dt < 0$$

Thus,  $E_i''(0) < 0$  for SOME  $i$ , **contradicts (\*)**.

By Hopf-Rinow,  $\text{diam}(M, g) \leq \pi r + \text{complete} \Rightarrow M$  cpt.

To prove  $\pi_1 M$  is finite, consider its "universal cover"



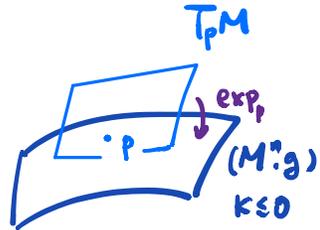
Cartan-Hadamard Thm: Let  $(M^n, g)$  be a complete Riem. mfd.

Suppose  $M$  has non-positive sectional curvature, i.e.

$$K^M \leq 0$$

THEN,  $\exp_p: T_p M \rightarrow M$  is a covering map  $\forall p \in M$ .

Hence, if  $\pi_1 M = 0$ , then  $M \stackrel{\text{diff'o}}{\cong} T_p M \cong \mathbb{R}^n$ .

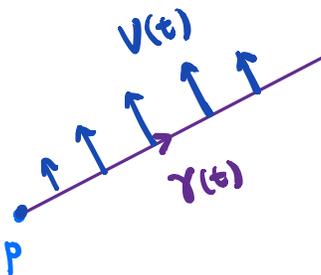


"Sketch of Proof": Idea: Jacobi field estimates.

Step 1:  $\nexists$  conjugate pts on ANY geodesic in  $M$

Since  $(M^n, g)$  is complete  $\xrightarrow[\text{Riem}]{\text{Hopf-}}$   $\exp_p: T_p M \rightarrow M$  is defined.

Let  $\gamma: [0, \infty) \rightarrow (M^n, g)$  be a geodesic with  $\gamma(0) = p$ .



Suppose  $V(t)$  is a <sup>normal</sup> Jacobi field on  $\gamma$

$$\text{st } V(0) = 0, V'(0) \neq 0$$

Claim:  $V(t) \neq 0 \quad \forall t \in (0, \infty)$

Pf: Consider the function  $f(t) := \|V(t)\|^2$

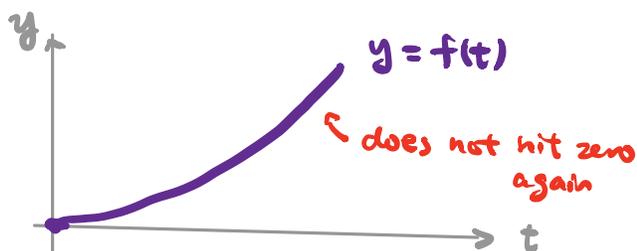
$$f'(t) = 2 \langle V(t), V'(t) \rangle$$

$$f''(t) = 2 \langle V'(t), V'(t) \rangle + 2 \langle V(t), V''(t) \rangle$$

$$= 2 \langle V'(t), V'(t) \rangle - 2 \langle V(t), R(\gamma'(t), V(t)) \gamma'(t) \rangle$$

Hence,  $f''(t) \geq 0 \quad \forall t \in [0, \infty)$ .

$\leq 0$  by  $K \leq 0$ .



$$f(0) = \|V(0)\|^2 = 0$$

$$f'(0) = 2 \langle V(0), V'(0) \rangle = 0$$

$$f''(0) = 2 \|V'(0)\|^2 > 0$$

Step 2:  $\exp_p : T_p M \rightarrow M$  is a covering map (with some metrics)

By step 1,  $d(\exp_p)_v$  is non-singular  $\forall v \in T_p M$

$\Rightarrow$  IFT  $\exp_p : T_p M \rightarrow M$  is a local diffeo.

$\Rightarrow \exp_p : (T_p M, \exp_p^* g) \rightarrow (M, g)$  local isometry  
hence is a covering map.

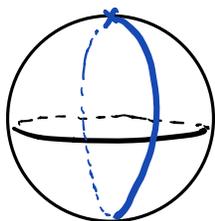
So, if  $M$  is simply-connected, since  $\pi_1 T_p M = 0$ , then  $\exp_p$  must be a diffeomorphism.

\_\_\_\_\_  $\square$

## Space Forms

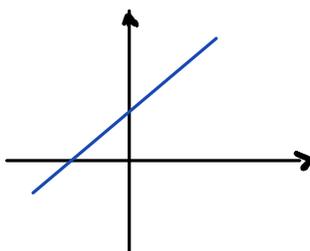
There are 3 "model geometries" in 2D:

$(K \equiv 1)$   
Spherical  
 $(S^2, g_{\text{sphere}})$



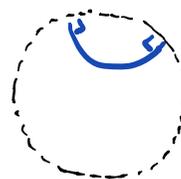
$\downarrow$   
 $\mathbb{R}P^2$

$(K \equiv 0)$   
Euclidean  
 $(\mathbb{R}^2, g_{\text{flat}})$



$\downarrow$   
 $T^2, \mathbb{R} \times S^1$

$(K \equiv -1)$   
Hyperbolic  
 $(\mathbb{H}^2, g_{\text{hyp}})$



Poincaré  
disk model

$\downarrow$   
 $\Sigma_g (g \geq 2)$

Q: Can we classify the "model geometries" in higher dimensions?  
 $\leftarrow$  constant curvature spaces

Cartan Theorem:  $S^n, \mathbb{R}^n, \mathbb{H}^n$  are the only simply connected, complete Riem.  $n$ -manifolds with constant sectional curvatures.

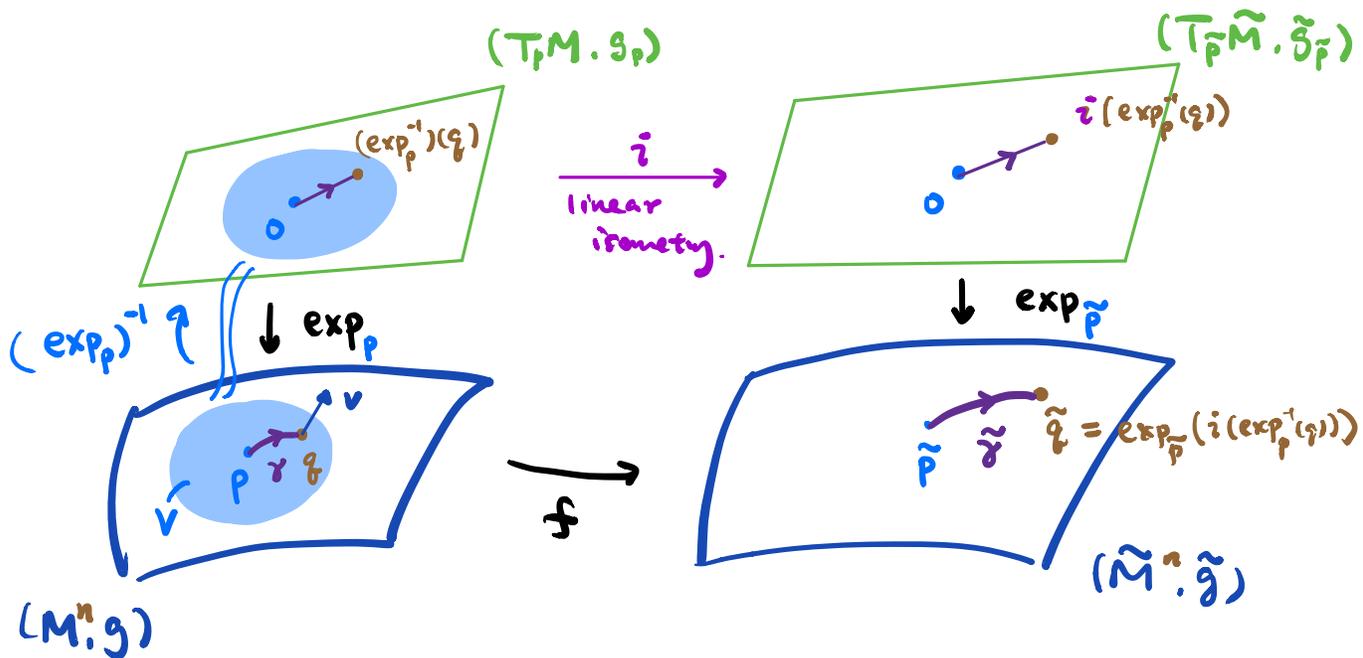
The key idea to the proof is a lemma due to Cartan - Ambrose which says that the Riem. curvature tensor  $R$  determines the Riem. metric  $g$  locally.

$$g \begin{matrix} \xrightarrow{\text{"d"}} \\ \xrightarrow{\text{"j"}} \end{matrix} R$$

Notation for Cartan - Ambrose Lemma:

$(M^n, g)$  = complete Riem. mfd of same  $\dim = n$   
 $(\tilde{M}^n, \tilde{g})$

Fix  $p \in M, \tilde{p} \in \tilde{M}$  and a linear isometry  $i: T_p M \rightarrow T_{\tilde{p}} \tilde{M}$



Let  $V \subseteq M$  be a nbd of  $p$  in  $M$  st the geodesic normal coordinate system<sup>(centered at  $p$ )</sup> is well-defined in  $V$

Define:  $f: V \rightarrow \tilde{M}$  by  $f(q) := \exp_{\tilde{p}} \circ i \circ \exp_p^{-1}(q)$

Let  $\gamma: [0, t] \rightarrow M$  be geodesic from  $p$  to  $q$  parallel transports along  $\gamma, \tilde{\gamma}$   
 $\tilde{\gamma}: [0, t] \rightarrow \tilde{M}$  be geodesic from  $\tilde{p}$  to  $\tilde{q}$

Define:  $\phi_t: T_q M \rightarrow T_{\tilde{q}} \tilde{M}$  by  $\phi_t(v) := \tilde{P}_t \circ i \circ P_t^{-1}(v)$

Cartan-Ambrose Lemma: Under the notations above:

If  $\forall q \in V, \forall x, y, u, v \in T_q M$ .

$$R(x, y, u, v) = \tilde{R}(\phi_t(x), \phi_t(y), \phi_t(u), \phi_t(v)).$$

Then,  $f: V \rightarrow f(V)$  is a local isometry.

"Sketch of Proof": Fix  $q \in V$ , and let  $\gamma: [0, l] \rightarrow M$  p.b.a.l.

Fix  $v \in T_q M$ . By the choice of  $V$ ,  $q$  is NOT conjugate to  $p$

$\Rightarrow \exists$  Jacobi field  $V(t)$  along  $\gamma(t)$  st  $V(0) = 0, V(l) = v$

Choose a parallel O.N.B.  $\{e_1, \dots, e_n\}$  along  $\gamma$  st  $e_n = \gamma'$

write: 
$$V(t) = \sum_{j=1}^n \alpha_j(t) e_j(t)$$

Jacobi eq<sup>n</sup>  $\Rightarrow \alpha_j'' + \sum_{i=1}^n R(e_n, e_i, e_n, e_j) \alpha_i = 0$  for  $j=1, \dots, n$

Define: 
$$\tilde{V}(t) := \phi_t(V(t)) \quad \forall t \in [0, l]$$

$$\tilde{e}_j(t) := \phi_t(e_j(t)) \quad \text{O.N.B. parallel along } \tilde{\gamma}$$

Note: 
$$\tilde{V}(t) = \sum_{j=1}^n \alpha_j(t) \tilde{e}_j(t)$$

By hypothesis,  $R(e_n, e_i, e_n, e_j) = \tilde{R}(\tilde{e}_n, \tilde{e}_i, \tilde{e}_n, \tilde{e}_j)$ .

$$\Rightarrow \alpha_j'' + \sum_{i=1}^n \tilde{R}(\tilde{e}_n, \tilde{e}_i, \tilde{e}_n, \tilde{e}_j) \alpha_i = 0 \quad \text{for } j=1, \dots, n$$

So,  $\tilde{V}(t)$  is a Jacobi field along  $\tilde{\gamma}$  with  $\tilde{V}(0) = 0$ .

Since  $P_t, \tilde{P}_t$  are isometries, we have  $\|\tilde{V}(x)\| = \|V(x)\|$

Finally, one checks that  $\tilde{V}(x) = df_q(v)$ , ie.  $df_q$  is isometry.  
(Ex.)

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□